



18304 U.S. PTO

ATTORNEY'S DOCKET NO.  
062891.0638

PATENT APPLICATION

1

APPLICATION FOR U.S. PATENT UNDER 37 C.F.R. § 1.53(b)

**UTILITY PATENT APPLICATION TRANSMITTAL FORM**



**MAIL STOP PATENT APPLICATION**  
**COMMISSIONER FOR PATENTS**  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Attorney's Docket: **062891.0638**  
Express Mail Receipt Number:  
**EV 324644670 US**

Sir:

Transmitted herewith for filing is the utility patent application of:

**Inventor(s) or Application Identifier:**

**Dennis Cox and Kip McClanahan**

**Entitled: METHOD FOR BLOCKING DENIAL OF SERVICE AND  
ADDRESS SPOOFING ATTACK ON A PRIVATE NETWORK**

**APPLICATION ELEMENTS:**

1. ☒ **This Fee Transmittal Form**
2. **Applicant is:**
  - ☒ **Large Entity**
  - ☐ **Small Entity**
    - ☐ **Small Entity Statement enclosed**
    - ☐ **Small Entity Statement filed in prior application.**
  - ☐ **Status still proper and desired.**
3. ☒ **Specification (20 Total Pages)**
4. ☒ **Drawings (1 Total Sheets) of drawings**
5. ☒ **Combined Declaration and Power of Attorney**
  - ☐ **4 Total Pages)**
  - ☐ **Newly executed (original or copy)**
  - ☒ **Copy from a prior application**  
**(for continuation/divisional only)**

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PATENT APPLICATION

2

6. The accompanying application is:

☐ Original

☒ Continuation

☐ Continuation-In-Part (CIP)

Prior Application No.: 09/040,898

Filed: March 18, 1998

Examiner: T. Kupstas

Group/Art Unit: 2153

which is hereby incorporated by reference therein.(For Continuation or Divisional Applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5 above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.)

7. ☐ Priority of foreign Application No. \_\_\_\_\_, filed on \_\_\_\_\_ is claimed under 35 U.S.C. § 119.

☐ A certified copy is attached.

☐ A certified copy was filed in prior Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

8. ☒ The prior application is assigned of record from the inventors to Netspeed, Inc. a Texas Corporation, at Reel 9069, frame 0820 in the U.S. Patent and Trademark Office records, and was recorded on March 18, 1998; and assigned by Netspeed, Inc. to Cisco Systems, Inc., a California Corporation, at Reel 9157, frame 0001, in the U.S. Patent and Trademark Office records, and was recorded on May 8, 1998; and assigned by Cisco Systems, Inc. to Cisco Technology, Inc., a California Corporation, at Reel 9516, frame 0697, in the U.S. Patent and Trademark Office records, and was recorded on October 13, 1998.

**FEE CALCULATION:**

*CLAIMS AS FILED IN THE APPLICATION, LESS ANY CLAIMS CANCELLED  
BY PRELIMINARY AMENDMENT OR ADDED BY PRELIMINARY AMENDMENT*

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee
					\$ 770.00
Total Claims:	33	-20 =	13	X \$18 =	\$ 234.00
Independent Claims	9	- 3 =	6	X \$86 =	\$ 516.00
TOTAL FILING FEE =					\$ 1,520.00

ATTORNEY'S DOCKET NO.  
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PATENT APPLICATION

3

*ACCOMPANYING APPLICATION PARTS:*

9. ☐ An Assignment of the invention to \_\_\_\_\_ is attached. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$\_\_\_\_ pursuant to 37 C.F.R. § 1.21(h).

10. ☒ **Information Disclosure Statement (IDS) PTO-1449**

☐ Copies of IDS Citations.

☒ Pursuant to 37 C.F.R. § 1.98(d), copies of these references are not being furnished.

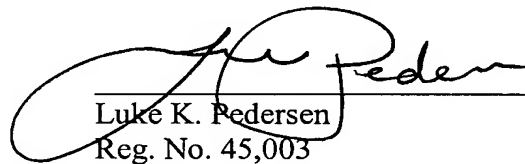
11. ☐ Preliminary Amendment

12. ☒ **Certificate of Mailing**

13. ☒ **Return Receipt Postcard**

14. ☒ A check in the amount of \$1,520.00 to satisfy filing fee requirements under 37 C.F.R. § 1.16. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicants

  
\_\_\_\_\_  
Luke K. Pedersen  
Reg. No. 45,003

Date: 3/24/04

CORRESPONDENCE ADDRESS:

Customer No. **05073**  
Attorney Docket No.: 062891.0638

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: COX, et al.  
Date Filed: March 24, 2004  
Title: **METHOD FOR BLOCKING DENIAL OF  
SERVICE AND ADDRESS SPOOFING ATTACK  
ON A PRIVATE NETWORK**

**MAIL STOP PATENT APPLICATION**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
Dear Sir:

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that the attached Continuation Utility Patent Application (20 pages), transmittal form with fees calculated (3 pages), Formal Drawings (1 sheet), Information Disclosure Statement (1 page), PTO 1449 form (9 pages), check in the amount of \$1,520.00 for filing fee, Customer Number Association (1 page), copy of original executed Declaration and Power of Attorney (4 pages), a Baker Botts acknowledgement return receipt postcard (1 card); and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 24th day of March, 2004, and addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

*Willie Jiles*

Willie Jiles

Express Mail Receipt  
No. EV324644670 US  
Attorney's Docket: 062891.0638

**EV324644670US**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: COX, et al.  
Filed: March 24, 2004  
Title: **METHOD FOR BLOCKING DENIAL OF SERVICE  
AND ADDRESS SPOOFING ATTACK ON A  
PRIVATE NETWORK**

**MAIL STOP PATENT APPLICATION**

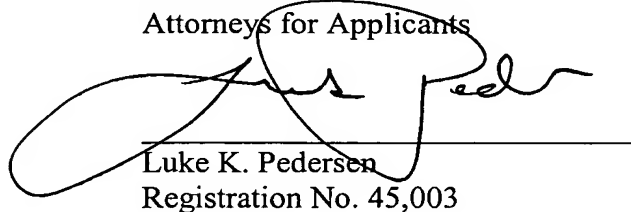
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

**CUSTOMER NUMBER ASSOCIATION**

Effective immediately, please associate Customer No. 05073 with the above-identified patent application, direct all correspondence pertaining to this patent application to practitioners at Customer Number 05073 and all telephone calls should be directed to Luke K. Pedersen at the above-mentioned Customer Number.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicants



\_\_\_\_\_  
Luke K. Pedersen  
Registration No. 45,003

Date: 3/24/04  
Baker Botts L.L.P.  
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Attorney Docket No.: 062891.0638